09/155452 **800 Rec'd PCT/PTO 29 SEP 1998**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5/7.R 01/19/99

In re application of

Rhona H. BORTS et al.

ATTN: BOX PCT

Serial No. NEW

Filed September 30, 1998

Attorney Docket No.

263/PPIR1165US

MEIOTIC RECOMBINATION IN VIVO OF PARTIALLY HOMOLOGOUS DNA SEQUENCES

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,

Washington, D.C. 20231

Sir:

Pursuant to the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, Applicants request consideration of (X) the references listed on the attached Form PTO-1449 and/or () the additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for each reference previously cited by or submitted to the prior parent application Serial No. Patent Office in PCT/GB97/00875.

- This Information Disclosure Statement is submitted: 1.
 - (X) within 3 months of the filing date of the abovea. (X) identified application, or () within 3 months of entry into National stage prosecution of a International application, or () before the mailing date of the first Office Action on the merits, and thus:
 - (X) no certification and/or fee is required.
 - () after the events of above paragraph 1a and prior to b. the mailing date of a final Office Action or Notice of Allowance, and thus:

() the certification of paragraph 2 below is provided, () a fee of \$.00 is enclosed. () after the mailing date of a final Office Action or c. a Notice of Allowance and prior to payment of the issue fee, and thus: () the certification of paragraph 2 below is provided, and () a Petition and fee of \$.00 are enclosed. It is hereby certified: 2. information contained in that each item of Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or () that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement. () Consideration of the following additional information 3. (including any co-pending or abandoned U.S. application, prior uses and/or sales, etc.) is requested: For each non-English language reference listed on the 4. attached Form PTO-1449: () reference is made to an English language translation submitted herewith, and/or () reference is made to a foreign patent office search report (in the English language) submitted herewith, - 2 -

and/or () reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or () reference is made to the concise explanation contained in the specification of the present application at page(s), and/or () reference is made to the concise explanation set forth below: () Applicants also offer the following comments for the 5. Examiner's consideration: (X) Also enclosed is a copy of the European Search Report 6. citing these references, and the International Preliminary Examination Report. Respectfully submitted, Rhona H. BORTS et al. Warren M. Cheek Registration Nd. 33,367 Attorney for Applicants WMC/dlk Washington, D.C. Telephone No. (202) 721-8200 September 30, 1998 - 3 -